



Processing Organic System Plan Annual Update 2024

Please complete this form in its entirety. Sign this form and attach supporting documentation as specified in Section 7. Incomplete information may lead to a delay in certification. Use additional sheets if necessary. Mark any sections that do not apply to your operation as "Not Applicable." Be sure to revise or update your Processing Organic System Plan as applicable and provide TCO Cert with copies of the revised pages.

SECTION 1: Programs Requested

Operation Name:	Operator Number:
↑ <i>The Operation Name must be a person, and a person means an individual, a corporation, an association, or an organization recognized as a legal entity. This name goes on the certificate.</i>	
Other Name(s):	
↑ <i>Other Name(s) may be another name by which the certificate holder is commonly known in the marketplace or the name the holder commonly does business under. This name may be added on the certificate but must clearly be referred to as "doing business as" or "DBA." Leave blank if you don't have any.</i>	
Contact Person's Name:	
Check ALL the programs for which you are requesting certification and/or verification. Apply for all programs you may need (and answer all applicable questions) so that your inspection specifically covers each program being requested and the inspector addresses the necessary questions. Certification/verification to any program not requested now cannot be granted at a later date (after the initial inspection) without an additional inspection.	
Certification/Verification Programs: <input type="checkbox"/> Canada Organic Regime (COR) <input type="checkbox"/> Bio Suisse** <input type="checkbox"/> CARTV	Equivalency Programs: <input type="checkbox"/> CAN/US Equivalence Arrangement
** Requires submission of additional documents. Contact your Certification Coordinator for the appropriate forms.	
1. Please list current organic certification by other agencies.	
2. Do you have access to a copy of the current standards (CAN/CGSB 32.310 and CAN/CGSB 32.311) for all programs for which you are applying?	<input type="checkbox"/> Yes <input type="checkbox"/> No
3. Do you understand the current organic standards for each program for which you are applying?	<input type="checkbox"/> Yes <input type="checkbox"/> No
4. Is contact information on your Processing Organic system Plan (POSP) up-to-date and accurate?	<input type="checkbox"/> Yes <input type="checkbox"/> No
5. a. Have you reviewed your Processing Organic System Plan? <u>If no</u> , please explain why not:	<input type="checkbox"/> Yes <input type="checkbox"/> No
b. Have you revised your Processing Organic System Plan and attached the revised pages with the date and your initials?	<input type="checkbox"/> Yes <input type="checkbox"/> No
PROCESSOR USER FEES	
Applicable to currently certified chapter members only:	
<ul style="list-style-type: none"> • <u>As you are a processor of your own product and make organic sales</u>, your user fee will be based upon any value added to your product after processing. 	
<input type="checkbox"/> "Revenue Based User Fee Remittance" form attached <input type="checkbox"/> I already submitted the form and paid for last year	
Please submit the "Revenue Based User-Fee Remittance" form as it applies to last year with this application and send payment in with your certification payment this year.	

SECTION 2: Description of Measures Taken to Address Noncompliances and Opportunities for Improvement

Please refer to the letter received with your most recent certificate that lists the previous Noncompliances and Opportunities for Improvement.

Were there any Noncompliances or Opportunities for Improvement from last year's certification? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, please complete the following table, briefly listing each Noncompliance and Opportunity for Improvement and describing the actions taken to address each one. <i>Add additional sheets if needed.</i>	
Noncompliance/Opportunity for Improvement	Action Taken
1.	
2.	
3.	
4.	
5.	

SECTION 3: Changes Made to your Operation/Procedures/Products Since your Last Inspection

Applicant Initials: _____ Date: _____

<p>1. a. Do you process/produce/handle any ingredients/products you do not own, meaning that you are performing custom service activities for another organic operation?</p> <p>b. Do you custom package and/or label products that you did not produce nor own for another operation?</p> <p>i. If yes, please provide the name of the operation:</p> <p>ii. If yes, please describe in detail the services you are providing:</p> <p>iii. If yes, please list the brand name(s) of the product and submit a copy of their label to TCO Cert for approval:</p> <p><u>*If yes, please note the following:</u></p> <ul style="list-style-type: none"> <u>If you are providing “Custom Packaging and Labelling Services” to other organic operations (meaning that you are packaging and/or labeling products you did not produce nor own), please complete and submit the TCO Cert “Packaging and Labelling Organic System Plan Annual Update”</u> <u>If you are providing any custom services other than “Packaging and Labelling” for other organic operations, you need to apply for “Attestation of Compliance” (to the COR) to cover these activities. Please complete and submit: TCO Cert “Custom Service Provider Organic System Plan” TCO Cert “Custom Service Organic System Plan Annual Update”</u> <p>Please contact the TCO Cert office for guidance</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>2. Are any new private label agreements* in place since your last inspection?</p> <p><u>If yes</u>, please list them:</p> <p>Please submit the Private Label Licensing Agreement form. *This is required for any of your customers whose operations are not holding an organic certificate but wishes to use a label which identifies TCO Cert as the certifying entity and/or uses the TCO Cert seal.</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>3. Have any new products under private label agreements been added since your last inspection?</p> <p><u>If yes</u>, please list them:</p> <p>Please ensure you have submitted the following forms for each new product:</p> <ul style="list-style-type: none"> Organic Product Ingredient (OPI) sheet. The artwork and completed Label Approval Request form for the label 	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>

Applicant Initials: _____ Date: _____

<p>4. Are there any new products under your own brand name since your last inspection?</p> <p><u>If yes</u>, please list them:</p> <p>Please submit a copy of the label for each new product:</p> <ul style="list-style-type: none"> • Completed Label Approval Request Form • Organic Product Ingredient (OPI) sheet; and • A flow chart if a new process is being used. 	<input type="checkbox"/> Yes <input type="checkbox"/> No
<p>5. Have any labels (either your own or private brands) been revised since your last inspection?</p> <p><u>If yes</u>, please identify which labels have been changed:</p> <p>Please submit artwork for the revised labels and a completed Label Approval Request for approval from TCO Cert.</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No
<p>6. Are any new ingredients being used since your last inspection?</p> <p><u>If yes</u>, please identify the new ingredients:</p> <p>Please submit current certificates and product listing (i.e., Addendums) for organic ingredients, or relevant attestations and proof of search for organic ingredients.</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No
<p>7. Have any suppliers for your ingredients changed since your last inspection?</p> <p><u>If yes</u>, please list the names of your new suppliers:</p> <p>Please submit current certificates and product addendums for new suppliers.</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
<p>8. Have any products been discontinued either under your own name, or for private labels?</p> <p><u>If yes</u>, please identify these products:</p> 	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A

Applicant Initials: _____ Date: _____

<p>9. Has your processing facility changed since your last inspection (e.g., new equipment or new building)?</p> <p><u>If yes</u>, please identify these changes:</p> <p>Please submit any necessary documentation such as:</p> <ul style="list-style-type: none"> New facility map New flow diagram Description of new equipment 	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
<p>10. Are any new processing/packaging aids being used since your last inspection?</p> <p><u>If yes</u>, please ensure that these processing/packaging aids are listed on the Processor Supplier List.</p> <p>Please submit the appropriate technical documentation or MSDS for these new processing/packaging aids.</p> <p>If non-organic processing aids are being used, please submit the appropriate documentation confirming compliance to par 1.4 of CAN/CGSB 32.310-2020 (Organic production systems—General principles and management standards), and to Tables 6.2 and 6.5 of the Permitted Substances List – CAN/CGSB-32.311-2020.</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A

SECTION 4: Pest Management Changes Since Last Inspection

<p>1. Are any new preventative measures or any new pesticides being used (included contracted pest control)?</p> <p><u>If yes</u>:</p> <p>a. Please list any preventative measures used:</p> <p>b. Please complete the table for any new substances used and the target pest.</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
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SUBSTANCE	TARGET PEST	LOCATION WHERE USED	METHOD OF APPLICATION

Applicant Initials: _____ Date: _____

SECTION 5: Cleaning and Sanitizing

Complete this Table for all cleaning and sanitation products used since the last inspection.

None used

- **Check the box for any new products used since your last inspection.**
- **Please submit MSDS and any other relevant documentation**
- **Complete and submit an Input Review Request form for each new product.**
- **Please do not use any new products before they have been reviewed and approved by TCO Cert.**

PRODUCT	NEW?	LOCATION USED	FREQUENCY OF USE	RINSE REQUIRED?
	<input type="checkbox"/>			<input type="checkbox"/>
	<input type="checkbox"/>			<input type="checkbox"/>
	<input type="checkbox"/>			<input type="checkbox"/>
	<input type="checkbox"/>			<input type="checkbox"/>
	<input type="checkbox"/>			<input type="checkbox"/>

SECTION 6: Organic Integrity

<p>1. Does your operation include parallel production?</p> <p><u>If yes</u>, have there been any changes to procedures to prevent commingling since your last inspection? Please describe these changes:</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>2. Has the use of water in your operation changed since your last inspection?</p> <p>Has your method of water treatment changed since your last inspection? <u>If yes</u>, please describe these changes:</p> <p><i>Please submit documentation for new substances used in water treatment (e.g., testing, new equipment, etc.).</i></p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> N/A</p>

<p>3. Have the packaging materials changed since your last inspection?</p> <p><u>If yes</u>, please describe the new packaging.</p> <p>Please submit documentation verifying that the food grade status of packaging.</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
<p>4.a. Do you use a custom service for processing or storing your organic products?</p> <p>b. Do you use a custom service provider for packaging and/or labelling your products?</p> <p><u>If yes to any of the above questions:</u></p> <ul style="list-style-type: none"> Please provide the full name of the off-site storage facility, the Processing or the Packaging and Labelling Custom Service used: Which of the following documentation is in place for the service provider used? <ul style="list-style-type: none"> <input type="checkbox"/> Attestation of Compliance to the COR * <input type="checkbox"/> Organic Certificate and Addendum for Packaging & Labeling ** <p>* <u>Attestation of Compliance</u> documentation needs to be in place for Contractual Service Providers storing or processing your products.</p> <p>If the Contractual Service Provider does not hold an Attestation of Compliance the service provider's facility and activities need to be included in the annual inspection of your operation.</p> <p>** If you are planning on using the custom services of a Contractual Service Provider <u>for Packaging and/or Labelling</u>, the Custom Service Operation must hold an <u>Organic Certificate for Packaging and Labelling</u>.</p> <p>Please attach available documentation for the Custom Service Operation and/or have documentation on hand at time of inspection.</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No
<p>5. Has the method of transporting product(s) (either incoming ingredients or outgoing finished product) changed since your last inspection?</p> <p><u>If yes</u>, please identify the changes and explain how organic integrity is maintained with the new methods of transportation.</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No

SECTION 7: Additional Comments

Please provide any additional comments or information pertinent to this Organic System Plan.
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Applicant Initials: _____ Date: _____

SECTION 8: Supporting Documents

1. For any new product being requested for certification, you must submit *a copy of the actual label, the completed Label Approval Request Form, Organic Product Ingredient sheet, and flow chart (if a new process is used)*.
2. Please provide the following, if there have been any changes since your last inspection:
 - flow charts
 - facility maps
 - pest trap maps
3. Please provide the following attachments with your *Processing Organic System Plan Annual Update*:
 - A Current Product List. Please note any deletions or additions since last application.
 - A Supplier List. This list must include a list of all ingredients and supplier names, Certification Body of the suppliers, organic programs to which the ingredients are certified.
 - Current Organic Product Ingredient (OPI) forms.
 - A current Private Label Licensing Application Form (if applicable)
 - Copies of your suppliers' current organic certificate, product listing addendum and Can/US Organic Equivalency Affidavit if applicable
 - A document of water testing (if applicable)
 - Attestation of Compliance document for Custom Service Provider (if applicable)

SECTION 9: Affirmation

I affirm that all statements made in this application are true, correct, and complete. The organic products that I have processed have been processed according to organic standards or products not organic to the same programs. I understand that the operation may be subject to an unannounced inspection and/or sampling for residues at any time as deemed appropriate. I understand that acceptance of this form in no way implies granting of certification by TCO Cert. I agree to abide by TCO Cert policies and the certification standards and/or certification requirements applicable to my operation. I agree to notify TCO Cert in writing of changes in any of the following: operation contact information, applicant contact information, legal status, ownership or control of the operation, or any change in the operation that may affect its compliance. I agree to pay all fees assessed by TCO Cert. I further agree to abide by and fulfill duties and obligations to TCO Cert, as written in the Operator Licensing Agreement. I affirm that I understand the standards/regulations for the specific program(s) that I have requested and that the requested products were processed in accordance to these standards/regulations.

*

Signature of Operator

Date (M/D/Y)

* Must have completed an e-signature form

Please maintain copies of the Organic System Plan and other supporting documents as part of your record keeping system.

Applicant Initials: _____ Date: _____